



MKS Instruments, Inc. Statement under the California Transparency in Supply Chain Act

Under the California Transparency in Supply Chain Act of 2010 (Cal. Civil Code Section 1714.43), many manufacturers and retail sellers doing business in California must disclose information regarding their efforts to address the risk of slavery and human trafficking in their supply chains.

MKS Instruments, Inc. is a global provider of instruments, subsystems and process control solutions that measure, control, power, monitor and analyze critical parameters of advanced manufacturing processes to improve process performance and productivity. We also provide services relating to the maintenance and repair of our products, software, service and maintenance, installation services and training. We group our products into three groups of similar products based upon the similarity of product function. These three groups of products are: Instruments, Control and Vacuum Products; Power and Reactive Gas Products; and Analytical Solutions Group Products. Our products are derived from our core competencies in pressure measurement and control, materials delivery, gas composition analysis, control and information technology, power and reactive gas generation and vacuum technology. Our products are used in diverse markets, applications and processes. Our primary served markets are manufacturers of semiconductor capital equipment and manufacturers of semiconductor devices, and for other thin film applications including flat panel displays, solar cells, light emitting diodes (“LEDs”), data storage media and other advanced coatings. We also leverage our technology into other markets with advanced manufacturing processes including medical equipment, pharmaceutical manufacturing, energy generation and environmental monitoring.

MKS is committed to conducting business in an ethical and responsible manner and is opposed to slavery and human trafficking. These values are reflected in our Code of Business Conduct and Ethics. All MKS employees receive training on our Code annually and certify to compliance with it at that time. Failure to comply with the standards set forth in our Code will result in disciplinary action, including, but not limited to, termination. MKS will do business only by lawful and ethical means.

When working with customers and suppliers in every aspect of our business, we will not compromise our commitment to integrity. MKS bases supplier relationships on lawful, efficient and fair practices, and expects its suppliers to adhere to applicable legal requirements in their business relationships, including those with their employees, their local environments, and MKS. The quality of our supplier relationships often has a direct bearing on the quality of our customer relationships.

MKS will only do business with suppliers that comply with applicable legal requirements. Suppliers that transact business with MKS are contractually obligated to comply with standards of business conduct consistent with those described in our Supplier Integrity Guidelines. Included in these Guidelines is a prohibition on using forced, prison or indentured labor, or workers subject to any form of compulsion or coercion. A supplier commitment to full compliance with these standards is the foundation of a mutually beneficial business relationship with MKS.

Each MKS supplier is expected to promptly inform MKS of any integrity concern involving or affecting MKS, whether or not the concern involves the supplier, as soon as the supplier has knowledge of

such integrity concern. A MKS supplier may do this by informing a MKS manager directly or by calling the MKS Compliance Hotline and an MKS Compliance Resource will promptly review and investigate the concern. A MKS supplier shall also take such steps as MKS may reasonably request to assist MKS in the investigation of any integrity concern involving MKS and the supplier.

MKS is currently evaluating verification processes to identify and evaluate the potential risks for human trafficking and slavery in our supply chain. MKS is also evaluating processes to audit supplier compliance with our standards on human trafficking and slavery in our supply chains.

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